

Version 1January 2022

Resolute Financial Services Pty Ltd Australian Financial Services Licence No. 510 222 ABN 19 627 352 541



Complaints Policy

Resolute Financial Services Pty Ltd and our representatives (we, our or us) value your feedback.

We understand that issues might arise from time to time that can cause our clients' dissatisfaction. If at any time you are dissatisfied with us or our services, please contact and provide us with your concerns and feedback, and allow us the opportunity to remedy the matter where this is appropriate and possible.

How to Make a Complaint

You can provide us withyour feedback or complaint by contacting us as follows:

Complaints Officer: Steve Esnouf



Suite 412, 89 High Street, Kew Victoria 3101



PO Box 14, Ivanhoe Victoria 3079



(03) 9853 5375



steve@resoluteprivate.com.au

Whichever lodgement method you choose to lodge your complaint, please provide us withyour full name, contact details and as many details as possible regarding your complaint.

If you are experiencing difficulties and need additional assistance or support in lodging your complaint, please contact our Complaints Officer, who will help you work through our complaints process.

How Will We Treat Your Complaint?

When we receive your complaint, we will:

- Acknowledge your complaint within one business day of receipt or as soon as reasonably practicable;
- Assess and investigate the matter(s) raisedin hyour complaint;
- Keep you informed of the progress of your complaint at key stages of the complaints handling process; and
- Provide you with a written response that will explain our investigation, decision, and reasonsfor our decisions once our investigation is complete.

How Long Will It Take Us to Treat Your Complaint?

Generally, we will provide you with a response no later than 30 calender days after receiving your complaint.

In some cases, a different time frame may apply where the resolution of your complaint is particularly complex, or there are circumstances beyond our control causing complaint management delays. Where this is the case, we will provide you with a delay notification which will inform you of the reasons for the delay and your rights to escalate the atter to the Australian Financial Complaints Authority (AFCA) if you are dissatisfied.

How to Access and Contact AFCA

Resolute Financial Services Pty Ltd is a member of AFCA. AFCA is an independent dispute resolution scheme available to consumers. If we have not resolved your complaint to your satisfaction or issue you with a delay notification, you can lodge your complaint with AFCA.

AFCA contact details are:

Postal address: GPO Box 3. Melbourne Vic 3001

Telephone: 1800 931 678 (free call) E-mail: info@afca.org.au Web Address: www.afca.org.au









